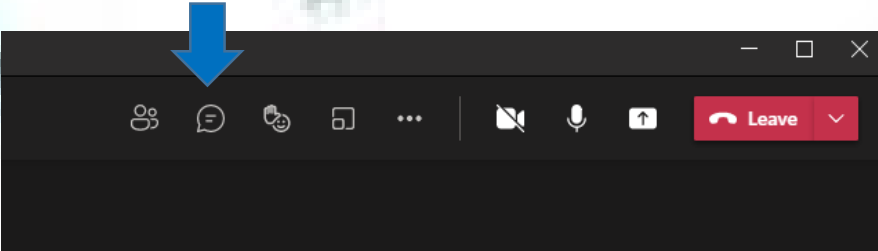


# PENNVEST Lunch and Learn Series

**PENNVEST Eligibility – April 6, 2021**

**Welcome to today's event. The session is being recorded and will be available on the PENNVEST website at [pennvest.pa.gov](https://pennvest.pa.gov) following the event for future reference.**

**All attendees are muted during the event. Questions will be received through the Chat function of TEAMS as identified below.**



# **PENNVEST Financing**

**Projects Approved: 4,221 for a Total Funding of \$9.6 Billion**

**\$700 Million Budgeted for 2020-2021**

- **1,086 Drinking Water**
- **1,698 Wastewater**
- **17 Brownfield**
- **175 Non-Point Source**
- **3 Acid Mine Drainage**
- **143 Stormwater**
- **907 Onlot Repairs**
- **19 Sewer Laterals Replaced**
- **173 New Sewer Connections**

# Eligibility

- **Who is eligible?**
- **What types of projects are eligible?**
- **What costs are eligible?**

# Who is eligible?

## Applicant eligibility:

- An owner or operator of a facility or system.
- Owner or operator can be a public or private entity, including a person, corporation, partnership, association, municipal authority, or governmental unit, who has legal and financial responsibility for the project for the term of the financial assistance.

# What type of projects are eligible?

## Definition:

*Project* – The eligible costs associated with the acquisition, construction, improvement, expansion, extension, repair, or rehabilitation, of all or part of any system or facility, whether publicly or privately owned:

- For the collection, treatment or disposal of wastewater, including industrial waste, or for nonpoint source projects or estuary protection projects.
- For the supply, treatment, storage, or distribution of drinking water.
- In a public system or facility for the control of stormwater, which may include the transport, storage and infiltration of stormwater, or costs for the best management practices to address point or nonpoint source pollution associated with stormwater runoff, or other innovative techniques identified in the county-prepared watershed plan under the Storm Water Management Act.

# Reference List Of Project Types That Are Eligible

- **Drinking Water**
- **Wastewater**
- **Stormwater**
- **Non-Point Source**
  - **Ag BMPs**
  - **Acid Mine**
  - **Brownfield**
  - **Green Infrastructure**
  - **Onlot Systems**
  - **Sewer Lateral Repair/First-Time Hookup – Principal Residence**

# What costs are eligible?

## Eligible cost:

- Design and engineering incorporated in the final project, including the development of prefeasibility, feasibility, and planning studies, plans, specifications, cost estimates, surveys, project inspection and management, and costs associated with the completion of a second opinion project review.
- Financial condition and audit reports required for the application.
- Administrative costs, including financial reporting costs, generated by the project and specifically included in the financial assistance agreement. These costs include the cost associated with completing the application itself.



## Eligible costs (continued):

- Acquisition of property rights, including that of necessary easements or rights-of-way, and equipment that are preliminary to or a necessary part of the project. Acquisition of property rights may include the costs associated with the acquisition, including taxes, fees, surveys, title insurance, and relocation fees, specifically included in the financial assistance agreement.
- Capital contributions.
- Legal service fees generated by the project.
- Permit fees.
- Insurance or bonds associated with the construction of the project.

## Eligible costs (continued):

- Security bonds, necessary reserves, and costs of establishing and securing total financing arrangements for the project.
- Interest during construction or financing of the project and allowance for funds used during construction.
- Project construction, including labor, materials, machinery, equipment, site preparation, and restoration costs associated with the project.
- Other costs the Board has determined to be necessary or incident to the project. The applicant shall demonstrate that the activities associated with these costs are integral to the proposed project and that the costs are unavoidable.

# Ineligible costs:

- **Costs of acquisition or construction of interior plumbing.**
- **Projects that have not secured planning and permit approvals under Chapters 71, 91, and 92.**
- **Costs associated with the purchase of land that is not a component in the collection process, or the physical, biological, or chemical treatment process, or are costs which would not qualify as “eligible costs”.**
- **Legal or professional fees associated with litigation of a Commonwealth enforcement action.**
- **Costs associated with replanning or redesign subsequent to loan approval, except where unforeseeable site conditions discovered during construction require replanning or redesign.**

# Ineligible costs (continued):

- **Costs associated with the development of an approvable official sewage plan under the Pennsylvania Sewage Facilities Act.**
- **Costs associated with the extraction for profit of minerals or other resources from wastewater or sludges unless, in the case of public facilities, the profits from the extraction will be used solely to reduce system user costs.**
- **Costs associated with a stormwater project located within a watershed for which a county has not adopted a watershed stormwater management plan, as required by the Storm Water Management Act, may be ineligible for financial assistance under the act.**
- **Costs associated with a project in which work is done within the stream, including activities such as widening/realignment of channel, improvements along banks and bed of the channel, and increasing capacity of the channel. \*Note: Work within a stream for the installation of best management practices associated with an approved Pollution Reduction Plan for MS4 compliance are eligible costs.**

# A detailed list of eligibilities and ineligibilities can be viewed online for Chapter 963 PENNSYLVANIA INFRASTRUCTURE INVESTMENT AUTHORITY ASSISTANCE at [pacode.com](http://pacode.com).

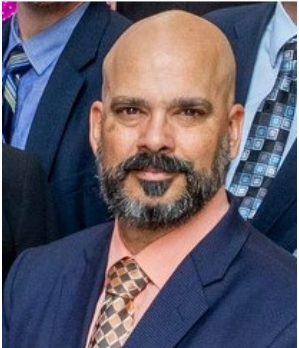
The screenshot shows the Pennsylvania Code & Bulletin website. At the top is a navigation bar with links for HOME, SEARCH, ABOUT, CONTACT, FAQ, and ALERTS. On the left side, there is a vertical banner for the Commonwealth of Pennsylvania, featuring the state capitol dome and text stating that no statutes or acts will be found at this website, and that the website reflects the Pennsylvania Code changes effective through 51 Pa.B. 676 (January 30, 2021) and includes the Pennsylvania Bulletin website content. The main content area is divided into two columns. The left column has search boxes for 'CODE' and 'BULLETIN', both with 'Refined Text Search' options. Below these is a link to 'VIEW CURRENT ISSUE of the BULLETIN', which points to 'Volume 51 Number 14' dated 'Saturday, April 3, 2021'. The right column has a 'BROWSE' section with a 'CODE' dropdown menu showing categories like 'CORPORATIONS AND BUSINESS ASSOCIATIONS', 'EDUCATION', 'ENVIRONMENTAL PROTECTION' (highlighted), 'HEALTH AND SAFETY', and 'INSURANCE'. Below this is a 'BULLETIN' dropdown menu showing 'Volume 51', 'Volume 50', 'Volume 49', and 'Volume 48'. At the bottom of the right column, there is a 'Disclaimer and Terms of Use' section and a note that questions regarding a regulation or notice should be directed to the promulgating agency. The footer of the page features the PENNVEST logo and the text 'PENNSYLVANIA INFRASTRUCTURE INVESTMENT AUTHORITY'.

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# FOLLOW US ON FACEBOOK!

A screenshot of the Facebook page for PA Infrastructure Investment Authority - PENNVEST. The page header includes the Facebook logo, a search bar, and a login field. The profile picture is a circular logo with a green leaf and a blue gear. The cover photo shows a scenic river flowing through a forest with autumn foliage. The page content includes a navigation menu on the left with options like Home, About, Photos, Reviews, Videos, Posts, Events, and Community. The main content area features a 'Photos' section with a photo of a truck unloading a container at a brick building, and a 'Community' section showing 375 likes and 434 followers. An 'About' section at the bottom right includes a map of the location in Harrisburg, PA.

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145 5th St, Harrisburg, PA, PA 17101





# Questions?

**Join us for our next Lunch and Learn Series**

**PENNVEST Small Projects Initiative**

**April 13, 2021 12:00 p.m. – 1:00 p.m.**